



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: BALABON, Sam

Appl'n No.: 10/730,360

Filing Date: 09 December 2003

For: SYSTEM AND METHOD FOR TRADING  
ABOVE OR BELOW THE MARKET

Group Art Unit: 3624

Examiner: n/a

**MS Amendment**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**PRELIMINARY AMENDMENT**

Sir:

Prior to examination of the above-identified application, please amend the specification  
as follows: